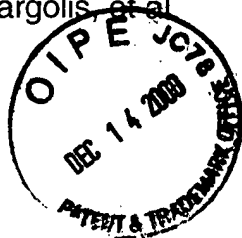


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: D. Margolis, et al



Appl. No.: 09/611,949

Filed: July 6, 2000

For: An HIV Transcription Repressor and  
Compositions and Methods Based  
Thereon

Art Unit: 1635

Examiner: To Be Assigned

Atty. Docket: 0184-0001CIP

Sector  
#57

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted  
October 28, 2000, Applicant(s) submit(s) the following documents for appropriate action  
by the U.S. Patent and Trademark Office.

1. Copy of the Notice to File Missing Parts;
2. 3 Original Combined Declaration and Power of Attorney, executed by the  
inventor(s)
3. Verified Statement Claiming Small Entity Status;
4. Petition for Extension of Time under 37 C.F.R. § 1.136;
5. Recordation Cover sheet;
6. 3 Original Assignment to University of Maryland, Biotechnology Institute,  
official recordation and return of which is respectfully requested;
7. 1 Return postcard; and
8. Our check for \$ 300.00 to cover

\$65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16);  
\$40.00 Assignment recordation fee(s) (37 C.F.R. § 1.21); and  
\$195.00 For 2 month extension of time (37 C.F.R. § 1.136)

Applicants note that the Notice To File Missing Parts mentions additional claim fees. However, Applicant's submit that the \$723.00 fee submitted with the application as originally filed is sufficient to cover 1) the \$345.00 basic fee, 2) the \$27.00 fee for 3 claims over 20; and 3) the \$351.00 fee for the 9 independent claims in excess of 3.

It is respectfully requested that the attached prepaid postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 50-0622. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 50-0622.

Respectfully submitted,

SHANKS & HERBERT

By: Chalin A. Smith  
**Chalin A. Smith**  
Reg. No. 41,569

Date 12/13/00

Shanks & Herbert  
TransPotomac Plaza  
1033 N. Fairfax Street, Suite 306  
Alexandria, VA 22314

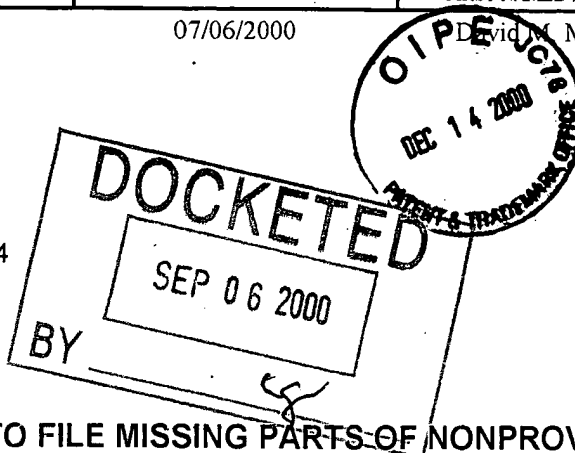


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/611,949         | 07/06/2000          | David M. Margolis     | 0184-0001CIP           |

Shanks & Herbert  
TransPotomac Plaza  
Suite 306  
1033 N Fairfax St  
Alexandria, VA 22314



## FORMALITIES LETTER



\*OC000000005359073\*

Date Mailed: 08/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$660.
  - \$54 for 3 total claims over 20.
  - \$624 for 8 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 775.

12/19/2000 MAHME1 00000028 09611949

02 FC:205

65.00 UP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

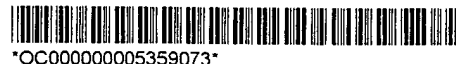
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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE